



**SUPERIOR COURT OF CALIFORNIA  
COUNTY OF KINGS**

**REQUEST FOR PROPOSAL**

**FILE LOCATION SYSTEM**

**RFP NUMBER 0607-FLS0001**

**PROPOSALS DUE BY  
5:00 PM PST, October 22, 2006**

**Table of Contents**

**I. Introduction – Summary of the Intended Procurement**

- 1.1 Issuing Body and Coordinated Procurement
- 1.2 RFP Layout and Sections
- 1.3 Project Overview

**II. Procurement and Evaluation Process**

- 2.1 Procurement Schedule and General Instructions
  - 2.1.1 Contact List
  - 2.1.2 Disposition of Material and Confidential or Proprietary Information
  - 2.1.3 Proposal Preparation Costs
- 2.2 Pre-Proposal Conference
  - 2.2.1 Vendor Requested Pre-proposal Conference
- 2.3 Pre-Submittal Process
  - 2.3.1 Request for Clarifications or Modifications
  - 2.3.2 Ambiguity, Discrepancies, Omissions
  - 2.3.3 Contact with Court
  - 2.3.4 RFP Addenda
- 2.4 Submission of Proposals
  - 2.4.1 Proposal Delivery
  - 2.4.2 Amendment or Withdrawal of Proposals
  - 2.4.3 Mistake in Proposal
  - 2.4.4 Error in Submitted Proposals
  - 2.4.5 Authorized Signatures, Validity Period of Proposals
  - 2.4.6 Knowledge of Requirements
  - 2.4.7 Independence of Proposal and Joint Proposals
  - 2.4.8 Covenant Against Gratuities
- 2.5 Overview of Evaluation Process
  - 2.5.1 Evaluation Committee
  - 2.5.2 Reservation of Rights
  - 2.5.3 Evaluation of Cost Sheets
  - 2.5.4 Cash Discounts
  - 2.5.5 Requests for Additional Information
  - 2.5.6 Brand Names
  - 2.5.7 Samples
- 2.6 Minimum Qualifications
- 2.7 Evaluation Criteria
- 2.8 Interviews and Negotiations
  - 2.8.1 Interviews
  - 2.8.2 Negotiations
  - 2.8.3 Payment
  - 2.8.4 News Releases
- 2.9 Award of Contract
- 2.10 Protest Procedures
  - 2.10.1 General
  - 2.10.2 Prior to Submission of Proposal
  - 2.10.3 After Award
  - 2.10.4 Form of Protest
  - 2.10.5 Determination of Protest Submitted Prior to Submission of Proposal
  - 2.10.6 Determination of Protest Submitted After Submission of Proposal
  - 2.10.7 Appeals of Protest
  - 2.10.8 Protest Remedies

**III. Proposal Format and Content**

- 3.1 Executive Summary
  - 3.1.1 Executive summary content
  - 3.1.2 Vendor Information, Validity, and Authorized Signature
- 3.2 Company and Subcontractor Information
  - 3.2.1 Company Background Information
  - 3.2.2 Subcontractors
- 3.3 Company Profile and California Locations
- 3.4 Experience and Qualifications
  - 3.4.1 Prior Experience and References
  - 3.4.2 Subcontractors
- 3.5 Technical Approach and Methodology
  - 3.5.1 Work Plan and Methodology
  - 3.5.2 Ordering Process
  - 3.5.3 Customer Service
  - 3.5.4 Reports
  - 3.5.5 Invoicing
- 3.6 Cost Proposal
  - 3.6.1 Government Rates
  - 3.6.2 Pricing and Price Adjustments
- 3.7 Required Proposal Forms and Documents
  - 3.7.1 Required Forms
  - 3.7.2 Acceptance of Terms

**IV. Statement of Work**

- 4.1 Description of Products and Services to be Provided
  - 4.1.1 General Overview of Current File Tracking Process
  - 4.1.2 Specifications for File Location System
    - 4.1.2.0 General Requirements
    - 4.1.2.1 Value Added Features
    - 4.1.2.2 Hardware and Software
    - 4.1.2.3 Pre-Implementation
    - 4.1.2.4 Implementation
    - 4.1.2.5 Post-Implementation
    - 4.1.2.6 Capabilities and Responses
  - 4.1.3 Training
  - 4.1.4 Customer Service
  - 4.1.5 Delivery Requirement and Shipping Cost
  - 4.1.6 Packaging
  - 4.1.7 Defective Product
  - 4.1.8 Rejection of Goods or Acceptance of Service
  - 4.1.9 Inventory
- 4.2 Invoicing

**V. General Conditions**

**VI. Attachments**

- Attachment A – Contract Terms and Conditions
- Attachment B – Courts Locations
- Attachment C – Vendor Certification Form

*RFP 0607-FLS0001*  
*File Location System*  
*Table of Contents*

Attachment D – Pricing & Specification Sheet

Attachment E – Capabilities and Responses

**I. INTRODUCTION – SUMMARY OF THE INTENDED PROCUREMENT**

**1.1 Issuing Body**

The Superior Court of California, County of Kings (“Court”) is issuing this Request for Proposal (“RFP”) to provide the Court with competitive bids for a file location system using the latest in radio frequency identification (“RFID”) technology and provide technological implementation and consulting.

**1.2 RFP Layout and Sections**

This RFP is laid out in sections as follows:

1. Introduction – Summary of the Intended Procurement
2. Procurement and Evaluation Process
3. Proposal Format and Content
4. Statement of Work
5. General Conditions
6. Attachments

**1.3 Project Overview**

The Court is requesting proposals from highly qualified vendors with expertise in providing RFID file tracking technology and consulting.

The Court intends to award a contract to a vendor that is able to provide all equipment for file location system using RFID and provide technological implementation as further described in Section IV of this RFP. However, the Court reserves the right to reject any or all proposals, in whole or in part, submitted in response to this RFP. The Court further reserves the right to make no award and to modify or cancel, in whole or in part, this RFP.

**II. PROCUREMENT AND EVALUATION PROCESS**

**2.1 Procurement Schedule and General Instructions**

The Court has developed the following list of key events from RFP issuance through notice contract award. All deadlines are subject to change at the Court’s discretion.

<b>No.</b>	<b>EVENTS</b>	<b>Key Dates</b>
1	Issue RFP	October 4, 2006
2	Letter of Intent from Proposers to Participate in Pre-Proposal Conference.	October 10, 2006
3	Vendor Requested Pre-Proposal Conference Date and Time	October 13, 2006, 2:00 p.m.(Pacific Time)
4	Deadline for Proposer Requests for Clarifications or Modifications	October 15, 2006, 1 p.m. (Pacific Time)
5	Proposal Due Date and Time	October 22, 2006 5:00 p.m. (Pacific Time)
6	Potential Interviews (estimated) *	October 25, 2006
7	Notice of Award (estimated)	October 26, 2006

\* If Interviews are conducted.

The RFP and any addenda that may be issued will be available on the following website(s), referred to individually and collectively as “Court website”: [www.kings.courts.ca.gov](http://www.kings.courts.ca.gov)

**2.1.1 Contact List**

Submittal Contact: Steve Miller – Assistant Chief Deputy of Information Technology  
 1426 South Drive  
 Hanford, CA 93230  
 559-582-1010 x5030  
[smiller@kings.courts.ca.gov](mailto:smiller@kings.courts.ca.gov)

Project Managers: Steve Miller – Assistant Chief Deputy of Information Technology  
 1426 South Drive  
 Hanford, CA 93230

Project Officer: Jeff Lewis - Chief Deputy Court Administrator  
 1426 South Drive  
 Hanford, CA 93230

Contracting Officer: Todd Barton – Executive Officer and Clerk of the Courts  
 1426 South Drive  
 Hanford, CA 93230

**2.1.2 Disposition of Material and Confidential or Proprietary Information**

All materials submitted in response to the solicitation document will become the property of the Court and will be returned only at the Court’s option and at the expense of the vendor submitting the proposal. One copy of a submitted proposal will be retained for official files and become a public record. **Any**

**material that a vendor considers as confidential but does not meet the disclosure exemption requirements of the California Public Records Act should not be included in the vendor's proposal as it may be made available to the public.**

Even though the Public Records Act (PRA) does not apply to the Court, the Court's policy is to look to the PRA for guidance in responding to requests for documents. If a vendor's proposal contains material noted or marked as confidential and/or proprietary that, in the Court's sole opinion, meets the disclosure exemption requirements of the PRA, then that information will not be disclosed pursuant to a written request for public documents. If the Court does not consider such material to be exempt from disclosure under the PRA, the material may be made available to the public, regardless of the notation or markings. If a vendor is unsure if its confidential and/or proprietary material meets the disclosure exemption requirements of the PRA, then it should not include such information in its proposal because such information may be disclosed to the public.

### **2.1.3 Proposal Preparation Costs**

Vendors submitting proposals do so entirely at their expense. There is no express or implied obligation by the Court to reimburse a vendor for any costs incurred in preparing or submitting proposals, providing additional information when requested by the Court, participating in any selection interviews or product demonstrations, or participating in this procurement.

## **2.2 Pre-Proposal Conference**

### **2.2.1 Vendor Requested Pre-proposal Conference**

Vendors may request a pre-proposal conference to answer questions related to this RFP will be held on the date and at the time specified in Section 2.1. The location of the pre-proposal conference is stated below:

Kings County Superior Court  
1426 South Drive, Building B  
Hanford, CA 93230

A Letter of Intent from a pre-proposal conference participant must be sent to the Submittal Contact at the address listed in Section 2.1.1 by the date and time noted in Section 2.1 indicating the number of individuals (of vendor and its subcontractors) who plan on attending the pre-proposal conference. The Court will prepare a summary of questions and answers from the pre-proposal conference, as an addendum, which will be provided to attendees or, if identified in Section 2.1, will be posted on the Court website.

## **2.3 Pre-Submittal Process**

### **2.3.1 Request for Clarifications or Modifications**

Vendors interested in responding to this solicitation may submit questions on procedural matters related to the RFP or requests for clarification or modification of this solicitation document, including questions regarding the General Conditions in Section V, to the Project Manager. If the vendor is requesting a change, the request must set forth the recommended change and the vendor's reasons for proposing the change. All questions and requests must be submitted in writing to the Submittal Contact listed in Section 2.1.1 no later than the date specified in Section 2.1, Procurement Schedule and General Instructions. Questions or requests submitted after the due date will not be answered.

Without disclosing the source of the question or request, the Project Manager will provide a copy of the questions to potential proposers or, if appropriate, post a copy of the questions and the Court's responses on the Court website.

If a vendor's question relates to a proprietary aspect of its proposal and the question would expose proprietary information if disclosed to competitors, the vendor may submit the question in writing, conspicuously marking it as "CONFIDENTIAL." With the question, the vendor must submit a statement explaining why the question is sensitive. If the Court concurs that the disclosure of the question or answer would expose proprietary information, the question will be answered, and both the question and answer will be kept in confidence. If the Court does not concur regarding the proprietary nature of the question, the question will not be answered in this manner and the vendor will be notified.

### **2.3.2 Ambiguity, Discrepancies, Omissions**

If a vendor submitting a proposal discovers any ambiguity, conflict, discrepancy, omission, or other error in this solicitation document, the vendor shall immediately provide the Submittal Contact listed in Section 2.1 written notice of the problem and request that the solicitation document be clarified or modified. Without disclosing the source of the request, the Court may modify the solicitation document prior to the date fixed for submission of proposals by providing an addendum to potential proposers or, if identified in Section 2.1, by posting the addendum on the Court website.

If prior to the date fixed for submission of proposals a vendor submitting a proposal knows of or should have known of an error in the solicitation document but fails to notify the Court of the error, the vendor shall propose at its own risk, and if the vendor is awarded the contract, the vendor shall not be entitled to additional compensation or time by reason of the error or its later correction.

### **2.3.3 Contact with Court**

Vendors are specifically directed **NOT** to contact any Court personnel or consultants for meetings, conferences, or discussions that are specifically related to this RFP at any time prior to any award of a contract. Unauthorized contact with any Court personnel or consultants may be cause for rejection of the vendor's response. Vendor may direct any contact to the submittal contact listed in Section 2.1.1.

### **2.3.4 RFP Addenda**

The Court may modify this solicitation document prior to the date fixed for submission of proposals by providing notice to potential proposers or, if identified in Section 2.1, by posting an addendum on the Court's website. If any potential vendor determines that an addendum unnecessarily restricts its ability to propose, it must notify the Submittal Contact listed in Section 2.1.1 no later than three (3) business days following the date the addendum provided or posted.

Pricing shall reflect all addenda issued by the Court. Failure to do so will permit the Court to interpret the proposal to include all addenda issued in any resulting contract.

## **2.4 Submission of Proposals**

### **2.4.1 Proposal Delivery**

The following must be received no later than the Proposal Due Date and time specified in Section 2.1 (the "Proposal Closing Time") at the address listed in Section 2.1.1 for the Submittal Contact:

- One unbound original of the technical and a separate unbound original of the cost proposal;
- **Five (5)** bound hard copies of both the technical and the cost proposals;

- One electronic copy of the technical proposal in MS Document or PDF format, and one electronic formatted copy of the cost proposal in Excel.

All proposals must be submitted in double envelopes that are sealed. The outside envelope must be clearly marked with the RFP Number, Project Title, the Proposal Due Date, and the proposer's name. **The cost proposal must be included in the proposal in a separately sealed envelope and should be marked with "Cost Proposal" and the proposer's name.**

**The hard copies and electronic copies of the technical proposal must not include any pricing information.** Proposals received prior to the Proposal Closing Time that are marked properly will be securely kept, unopened until the Proposal Closing Time. **Late proposals will not be considered.**

All proposals must be delivered via U.S. Mail, common carrier, or hand delivery. A receipt should be requested for hand delivered material.

The proposer is solely responsible for ensuring that the full proposal is received by the Court in accordance with the solicitation requirements, prior to the date and time specified in the solicitation, and at the place specified. The Court shall not be responsible for any delays in mail or by common carriers or by transmission errors or delays or missed delivery.

#### **2.4.2 Amendment or Withdrawal of Proposals**

A vendor may amend its proposal prior to the Proposal Closing Time. All amendments must be in writing and received by the Court prior to the Proposal Closing Time.

A vendor may withdraw its proposal at any time prior to the Proposal Closing Time by notifying the Submittal Contact listed in [Section 2.1.1](#) in writing of its withdrawal. Amendments or withdrawals offered in any other manner, than described above will not be considered. Proposals cannot be amended or withdrawn after the Proposal Closing Time.

#### **2.4.3 Mistake in Proposal**

If prior to a contract award, a proposer discovers a mistake in their proposal that renders the proposer unwilling to perform under any resulting contract, the proposer must immediately notify the Submittal Contact listed in [Section 2.1.1](#) in writing and request to withdraw the proposal. It shall be solely within the Court's discretion as to whether withdrawal will be permitted. If the solicitation contemplated evaluation and award of "all or none" of the items, then any withdrawal must be for the entire proposal. If the solicitation provided for evaluation and award on a line item or combination of items basis, the Court may consider permitting withdrawal of specific line item(s) or combination of items.

#### **2.4.4 Error in Submitted Proposals**

If an error is discovered in a vendor's proposal, the Court may at its sole option retain the proposal and allow the proposer to submit certain arithmetic corrections. The Court may, at its sole option, allow the proposer to correct obvious clerical errors. In determining if a correction will be allowed, the Court will consider the conformance of the proposal to the format and content required by the solicitation, the significance and magnitude of the correction, and any unusual complexity of the format and content required by the solicitation.

If the proposer's intent is clearly established based on review of the complete proposal submitted, the Court may, at its sole option, allow the proposer to correct an error based on that established intent.

The total price of unit-price items or individual line items will be the product of the unit or line item price and the quantity. If the unit or line item price is ambiguous, unintelligible or uncertain for any cause, or is omitted, the unit or line item price shall be the amount obtained by dividing the “extension” price by the item quantity.

#### **2.4.5 Authorized Signatures, Validity Period of Proposals**

Proposals must include the vendor name, address, telephone and facsimile numbers, and federal tax identification number. The proposal must be signed by a duly authorized officer or employee of the vendor and include the name, title, address, and telephone number of the individual who is the proposer’s designated representative.

Proposals will be valid for ninety (90) days after the Proposal Due Date specified in Section 2.1 (“Proposal Validity Date”). In the event a final contract has not been awarded by the date specified in Section 2.1, the Court reserves the right to negotiate extensions to the Proposal Validity Date.

#### **2.4.6 Knowledge of Requirements**

The vendor shall carefully review all documents referenced and made a part of the solicitation document to ensure that all information required to properly respond has been submitted or made available and all requirements are priced in the proposal. Failure to examine any document, drawing, specification, or instruction will be at the proposer’s sole risk.

Proposers shall be responsible for knowledge of all items and conditions contained in their proposals and in this RFP, including any Court issued clarifications, modifications, amendments, or addenda. The Court will provide notice to perspective proposers or, if identified in Section 2.1, post addenda and clarifications to the Court website; however, it is the proposer’s responsibility to ascertain that the proposal includes all addenda issued prior to the Proposal Due Date.

#### **2.4.7 Independence of Proposal and Joint Proposals**

Unless a proposer is submitting a joint proposal, the proposer represents and warrants that by submitting its proposal it did not conspire with any other vendor to set prices in violation of anti-trust laws.

A proposal submitted by two or more vendors participating jointly in one proposal may be submitted, but one vendor must be identified as the prime contractor and the other as the subcontractor. The Court assumes no responsibility or obligation for the division of orders or purchases among joint subcontractors.

#### **2.4.8 Covenant Against Gratuities**

Proposer warrants by signing its proposal that no gratuities, in the form of entertainment, gifts, or otherwise, were offered by the proposer or any agent, director, or representative of the proposer, to any officer, official, agent, or employee of any Court with a view toward securing award of or securing favorable treatment with respect to any determinations concerning the performance of any resulting contract. For breach or violation of this warranty, the Court will have the right to terminate any resulting contract in whole or in part. The right and remedies of the Court provided in this provision shall not be exclusive and are in addition to any other rights and remedies provided by law or under the resulting contract.

## **2.5 Overview of Evaluation Process**

### **2.5.1 Evaluation Committee**

The Court will conduct a comprehensive, fair, and impartial evaluation of proposals received in response to this RFP. All proposals received from vendors will be reviewed and evaluated by a committee of qualified personnel (“Evaluation Committee”). The name, units, or experience of the individual members will not be made available to any vendor. The Evaluation Committee will first review and screen all proposals submitted, except for the cost proposals, according to the minimum qualifications set forth in Section 2.6.

Vendors satisfying the minimum qualifications will then be evaluated in accordance with the evaluation criteria set forth in Section 2.7. The Evaluation Committee will first review and complete the evaluation of the technical proposals, without the cost proposal. Thereafter, the cost proposals will be opened, reviewed, and evaluated to determine an overall evaluation score.

### **2.5.2 Reservation of Rights**

The Court, in its complete discretion, may eliminate proposals that have not meet the minimum qualifications of Section 2.6, or have not scored adequately in relation to other proposals to warrant further consideration. The Court reserves the right to reject any or all proposals, in whole or in part, and may or may not waive any immaterial deviation or defect in a proposal.

The Court’s waiver of an immaterial deviation or defect shall in no way modify the solicitation document or excuse a vendor from full compliance with solicitation document specifications.

If a proposal fails to meet a material solicitation document requirement, the proposal may be rejected. A deviation is material to the extent that a response is not in substantial accord with the requirements of the solicitation document. Material deviations cannot be waived.

Unless otherwise specified in the solicitation, the Court may accept any item or combination of items as specified in the solicitation, of any proposal unless the proposer expressly restricts an item or combination of items in its proposal and conditions its response on receiving all items for which it provided a proposal. In the event that the proposer so restricts its proposal, the Court may consider the proposer’s restriction and evaluate whether the award on such basis will result in the best value to the Court or may determine in its sole discretion that such restriction is non-responsive and renders the proposer ineligible for further evaluation.

The Court reserves the right to negotiate with proposers who have presented, in the opinion of the Evaluation Committee, the best proposal in an attempt to reach a contract. If no contract is reached, the Court can negotiate with other proposers or make no award under this RFP. At any time the Evaluation Committee can reject all proposals and make no award under this RFP. Moreover, the Court reserves the right to reconsider any proposal submitted at any phase of the procurement. It also reserves the right to meet with vendors to gather additional information.

Proposals that contain false or misleading statements may be rejected if in the Court’s opinion the information was intended to mislead the Court regarding a requirement of the solicitation document.

### **2.5.3 Evaluation of Cost Sheets**

Cost sheets will be reviewed only if a proposal is determined to be otherwise qualified. All figures entered on the cost sheets must be clearly legible and must be printed in ink or type written. No erasures are permitted. Errors may be crossed out and corrections printed in ink or typewritten adjacent and must

be initialed in ink by the person signing the proposal. If the solicitation requires the proposer to provide an electronic copy of the cost sheets and there is a discrepancy in the printed cost sheets and the electronic copy, the pricing on the printed cost sheets will be evaluated.

Where more than one line item is specified in the solicitation, the Court reserves the right to determine the highest evaluated proposer, either on the basis of individual items, combination of items as specified in the solicitation, or on the basis of all items included in the solicitation, unless otherwise expressly provided.

Unit or line item prices may not be more than four (4) places to the right of the decimal point. For example, a unit price of \$0.56726 each would exceed this limitation. Unit prices that exceed this limitation will automatically be truncated to the fourth decimal place for both evaluation and award purposes. Using the example just cited, the “6” at the end of the unit price would be dropped off leaving a unit price of \$0.5672 each. Hardware and other equipment must be listed in a per unit format.

#### **2.5.4 Cash Discounts**

The Court encourages proposers to offer cash discounts for prompt payment, however, unless provided elsewhere in the solicitation; cash discounts offered by proposers for the prompt payment of invoices will not be considered in evaluating offers to determine the successful proposer for award of any resulting contract.

#### **2.5.5 Requests for Additional Information**

The Court reserves the right to seek clarification or additional information from any proposer throughout the solicitation process. The Court may require a proposer’s representative to answer questions during the evaluation process with regard to the vendor’s proposal. Failure of a proposer to demonstrate that the claims made in its proposal are in fact true may be sufficient cause for deeming a proposal non-responsive.

#### **2.5.6 Brand Names**

Any reference to brand names and/or numbers in the solicitation is intended to be descriptive, but not restrictive, unless otherwise specified. Proposals offering equivalent items meeting the standards of quality specified may be considered, unless otherwise specified, providing the proposal clearly describes the article offered and how it differs from the referenced brand. Unless a proposer specifies otherwise, it is understood that the proposer is offering a referenced brand item as specified in the solicitation. The Court reserves the right to determine whether a substitute offer is equivalent to and meets the standards of quality indicated by the brand name references, and the Court may require the supply of additional descriptive material and a sample.

#### **2.5.7 Samples**

Samples of goods may be required prior to award to determine proposer’s responsiveness to the RFP’s technical requirements. If requested, such samples must be provided at no cost and delivered to the address specified within the timeframe identified in the notification. Failure to submit samples as specified may be grounds for rejection of a vendor’s proposal.

Unless expressly set forth in the solicitation, the sample of goods furnished must be identical in all respects to the product or products being offered.

Proposers offering products of a different manufacturer and model number than those specified in the solicitation may be required to submit samples for inspection and specification compliance testing in

order for the Court to determine if the item offered is equivalent to and meets the minimum standards of quality acceptable to the Court as indicated by the manufacturer and model number specified in the solicitation.

Samples, if not destroyed by tests, may, upon request made by the proposer at the time the sample is furnished, be returned at proposer's expense.

**2.6 Minimum Qualifications**

To be considered for full evaluation and possible award, proposers must first meet the threshold minimum qualification requirements listed in the following table (minimum requirements can be met by combining experience, expertise, and resources of vendor and any proposed subcontractors):

No.	Minimum Qualifications
1	5 or more years financial stability substantiated by positive profit and loss statements
2	3 or more years experience working with government entities/public sector customers.
3	Neither vendor nor any of its proposed subcontractors are currently under suspension or debarment by any state or federal government agency and neither vendor nor any of its proposed subcontractors are tax delinquent with the State of California or Federal Government (reference <u>Attachment C</u> , Vendor Certification Form).
4	Vendor must have all required licenses and permits to install all necessary equipment for file location system.

The proposer must state specifically in its Executive Summary (see Section 3.1) how it will comply with each minimum qualification specified above. Subject to the Court's right in its complete discretion to waive minor deviations or defects, only those proposals that meet all of the foregoing minimum qualifications shall be considered for a full evaluation and a possible contract award.

Vendors who fail to meet any of the listed minimum qualifications will be notified in writing, and will have three (3) business days from receipt of such notification to file proof that all such qualifications are met.

**2.7 Evaluation Criteria**

Proposals will be evaluated to determine the proposal or proposals that offer the best value to the Court. The evaluation will be based upon the following criteria, listed in order of descending priority. Although some factors are weighted more than others, all are considered necessary, and a proposal must be technically acceptable in each area to be eligible for award. With regards to cost, the Court reserves the right, in its sole discretion, to reject any proposal whose price is outside of the competitive range.

- a. Experience on similar assignment
- b. Implementation Plan
- c. Ability to meet timing requirements to complete the project.
- d. Timeliness of Delivery
- e. Cost/Pricing factors
- f. Reasonableness of cost projections
- g. Customer service structure
- h. Credentials of staff to be assigned to the project.
- i. Financial viability and stability
- j. References

## **2.8 Interviews and Negotiations**

### **2.8.1 Interviews**

Following the initial screening of proposals, the Court reserves the right to require, and each proposer must be prepared to conduct, oral presentations and other discussions (written or verbal) on the content of its proposal. If the Court determines that interviews or presentations are required, selected proposers will be notified in writing of the date, place, time and format of the interview or presentation. Proposers will be responsible for all costs related to the interview, which, at the Court's sole discretion, may be in-person and/or by teleconference. Failure to participate in such interviews or presentations shall result in a proposer's disqualification from further consideration.

### **2.8.2 Negotiations**

If the Court desires to enter into negotiations, they will do so with one or more proposers, at their discretion. If the Court enters into negotiations and no contract is reached, the Court can negotiate with the other proposers or make no award under this RFP. The Court reserves the right to award a contract, if any, without negotiations.

### **2.8.3 Payment**

Payment terms will be in accordance with the payment provisions of Attachment A, Contract Terms and Conditions. **THE COURT DOES NOT MAKE ANY ADVANCE PAYMENT FOR GOODS OR SERVICES.** Payment is made based upon completion of tasks as provided in the contract between the Court and any selected vendor.

### **2.8.4 News Releases**

News releases pertaining to the award of any contract resulting from this solicitation may not be made by a vendor without the prior written approval of the Court Executive Officer, Don Lundy noted in Section 2.1.1.

## **2.9 Award of Contract**

The Evaluation Committee will make a final recommendation for award of the contract to the Contracting Officer noted in Section 2.1.1. Upon award, the successful proposer(s) will be required to execute a Contract in accordance with the Statement of Work in Section IV and the General Conditions in Section V, and provide a certificate of insurance in conformance with the requirements set forth in the General Conditions within thirty (30) business days of award. The period for execution of the Contract may be changed by mutual contract of the parties. Contracts are not effective until signed by both parties.

## **2.10 Protest Procedures**

### **2.10.1 General**

**Failure of proposer to comply with the protest procedures set forth in this Section 2.10, will render a protest inadequate and untimely, and will result in rejection of the protest.** In no event shall a protest be considered if all submittals are rejected or after a contract has been executed.

### **2.10.2 Prior to Submission of Proposal**

An interested party that is an actual or prospective proposer with a direct economic interest in the procurement may file a protest based on allegedly restrictive or defective specifications or other improprieties in the solicitation process that are apparent, or should have been reasonably discovered prior to the submission of a proposal. Such protest must be received prior to the Proposal Closing Time.

The protestor will have exhausted all administrative remedies specified in Section 2.3.1, Request for Clarification or Modifications; Section 2.3.2, Ambiguity, Discrepancies, Omissions; Section 2.3.4, RFP Addenda; and this Section as applicable, prior to submitting the protest. Failure to do so may be grounds for denying the protest.

### **2.10.3 After Award**

A vendor submitting a proposal may protest the award based on allegations of improprieties occurring during the proposal evaluation or award period if it meets all of the following conditions:

- a. The vendor has submitted a proposal that it believes to be responsive to the solicitation document.
- b. The vendor believes that its proposal meets the administrative and technical requirements of the solicitation, proposes items and/or services of proven quality and performance, and offers a competitive cost; and
- c. The vendor believes that the Court has incorrectly selected another vendor submitting a proposal for an award.

Such protests must be received no later than five (5) business days after the protesting party receives a no-award notification.

### **2.10.4 Form of Protest**

A vendor who is qualified to protest should submit the protest to the individual listed in the Submission of Proposal section of this RFP who will forward the matter to the appropriate Contracting Officer.

- a. The protest must be in writing and sent by certified or registered mail or delivered personally to the address noted above. If the protest is hand-delivered, a receipt must be requested.
- b. The protest shall include the name, address, telephone and facsimile numbers of the party protesting or their representative.
- c. The title of the solicitation document under which the protest is submitted shall be included.
- d. A detailed description of the specific legal and factual grounds of protest and any supporting documentation shall be included.
- e. The specific ruling or relief requested must be stated.

The Court, at its discretion, may make a decision regarding the protest without requesting further information or documents from the protestor. Therefore, the initial protest submittal must include all grounds for the protest and all evidence available at the time the protest is submitted. If the protestor later raises new grounds or evidence that was not included in the initial protest but which could have been raised at that time, the Court will not consider such new grounds or new evidence.

**2.10.5 Determination of Protest Submitted Prior to Submission of Proposal**

Upon receipt of a timely and proper protest based on allegedly restrictive or defective specifications or other improprieties in the solicitation process that are apparent, or should have been reasonably discovered prior to the submission of a proposal, the Court will provide a written determination to the protestor prior to the Proposal Closing Time. If required, the Court may extend the Proposal Closing Time to allow for a reasonable time to review the protest. If the protesting party elects to appeal the decision, the protesting party will follow the appeals process outlined below and the Court, at its sole discretion, may elect to withhold the contract award until the protest is resolved or denied or proceed with the award and implementation of the contract.

**2.10.6 Determination of Protest Submitted After Submission of Proposal**

Upon receipt of a timely and proper protest, the Court will investigate the protest and will provide a written response to the vendor within a reasonable time. If the Court requires additional time to review the protest and is not able to provide a response within ten (10) business days, the Court will notify the vendor. If the protesting party elects to appeal the decision, the protesting party will follow the appeals process outlined below and the Court, at its sole discretion, may elect to withhold the contract award until the protest is resolved or denied or proceed with the award and implementation of the contract.

**2.10.7 Appeals Process**

The Contracting Officer's decision shall be considered the final action by the Court unless the protesting party thereafter seeks an appeal of the decision by filing a request for appeal with the Court Executive Officer noted in Section 2.1.1 within five (5) calendar days of the issuance of the Contracting Officer's decision.

The justification for appeal is limited to:

- A. Facts and/or information related to the protest, as previously submitted, that were not available at the time the protest was originally submitted; or
- B. The Contracting Officer's decision contained errors of fact, and that such errors of fact were significant and material factors in the Contracting Officer's decision; or
- C. The decision of the Contracting Officer was in error of law or regulation.

The request for appeal shall include:

- (1) The name, address telephone and facsimile numbers of the vendor filing the appeal or their representative;
- (2) A copy of the Contracting Officer's decision;
- (3) The legal and factual basis for the appeal; and
- (4) The ruling or relief requested. Issues that could have been raised earlier will not be considered on appeal.

Upon receipt of a request for appeal, the Court Executive Officer will review the request and the decision of the Contracting Officer and shall issue a final determination. The decision of the Court Executive Officer shall constitute the Court's final action.

**2.10.8 Protest Remedies**

If the protest is upheld, the Court will consider all circumstances surrounding the procurement in its decision for a fair and reasonable remedy, including the seriousness of the procurement deficiency, the degree of prejudice to the protesting party or to the integrity of the competitive procurement system, the

good faith efforts of the parties, the extent of performance, the cost to the Court, the urgency of the procurement, and the impact of the recommendation(s). The Court may recommend any combination of the following remedies:

- a. Terminate the contract for convenience;
- b. Re-compete the contract;
- c. Issue a new solicitation;
- d. Refrain from exercising options to extend the term under the contract, if applicable;
- e. Award a contract consistent with statute or regulation; or
- f. Other such remedies as may be required to promote compliance.

### **III. PROPOSAL FORMAT AND CONTENT**

Responsive proposals should provide straightforward, concise information that satisfies the requirements of this solicitation. Expensive bindings, color displays, and the like are not necessary or desired. Emphasis should be placed on conformity to the Court's instructions, requirements of this solicitation, and the completeness and clarity of content.

#### **3.1 Executive Summary**

##### **3.1.1 Executive Summary Content**

The proposer must provide an Executive Summary of its proposal. The Executive Summary should be a "high-level", general overview of how the vendor proposes to accomplish the requirements of this RFP. The Executive Summary should demonstrate the proposer understands of the requirements. The proposer must also address in this section how it meets the minimum qualification requirements in Section 2.6.

##### **3.1.2 Vendor Information, Validity, and Authorized Signature**

The Executive Summary should include the vendor information, validity period, and authorized signature, as required in Section 2.4.5.

#### **3.2 Company and Subcontractor Information**

##### **3.2.1 Company Background Information**

The Court requires the vendor to be a reputable company of strong financial standing with experience in file location systems using RFID. The vendor's proposal must provide the information requested below. If the proposer is a joint venture, information about the prime subcontractor and the Subcontractor must be submitted separately. The information to be provided is as follows:

- a. Complete name and address.
- b. Federal tax identification number. Note that if a sole proprietorship using its social security number is awarded a contract, the social security number will be required prior to finalizing a contract.
- c. If incorporated, state in which incorporated.
- d. A short narrative description of the vendor's organization, including organization charts and indication of company officers where applicable.
- e. Principal type of business.
- f. Total number of years in business.
- g. Number of years providing products and services similar in size and scope to those requested in this RFP.
- h. An audited profit and loss statement and balance sheet for the vendor's last three (3) fiscal years. If a company is privately owned, this information will be kept confidential by the Court. These financial statements must be contained in a separate volume.
- i. Significant transactional events in the past five (5) years such as: bankruptcies, mergers, acquisitions, initial public offerings (IPO's).
- j. Annual contract value of the vendor's three (3) largest contracts for similar products and services in the past three (3) years.

- k. Percent of turnover of service staff for each of the last three (3) years in the vendor's organization that will be responsible for providing products and services described in this RFP (e.g. Account Manager, Customer Service personnel, etc.).
- l. If subcontractors are proposed for this RFP, describe the vendor's contract management process for subcontractors included in the vendor's proposal and provide copies of signed formal contracts (e.g., teaming contracts or any other legally binding document), if any exist, between the vendor and each proposed subcontractor.

### 3.2.2 Subcontractors

If subcontractors are proposed for this RFP, provide the following information for each Subcontractor:

- a. Subcontractor name and address.
- b. Federal tax identification number. Note that if the subcontractor is a sole proprietorship using its social security number, the social security number will be required prior to finalizing a contract.
- c. If incorporated, identify the state of incorporation.
- d. A short narrative of the subcontractor's organization, including organization charts and indication of company officers where applicable.
- e. Principal type of business.
- f. Total number of years in business.
- g. Number of years providing services similar in size and scope to those requested in this RFP.
- h. An audited profit and loss statement and balance sheet for the Subcontractor's last three (3) fiscal years. If a company is privately owned, this information will be kept confidential by the Court. These financial statements must be contained in a separate volume.
- i. Significant transactional events in the past five (5) years such as: bankruptcies, mergers, acquisitions, initial public offerings (IPO's).
- j. Percent of turnover of service staff for each of the last three (3) years in the Subcontractor's organization that will be responsible for providing services described in this RFP (e.g. Account Manager, Customer Service personnel, etc.).

### 3.3 Company Profile and California Locations

Vendor shall provide a short description of its company. In addition, the vendor shall list all of its California locations and shall include a statement as to whether it can provide products and services to each Court location, as listed in Attachment B. The vendor shall list any locations where it cannot provide products and services.

### 3.4 Experience and Qualifications

#### 3.4.1 Prior Experience and References

The Court requires the vendor and its subcontractors to have prior experience in all aspects of the products and services described in this RFP for customers similar to or with relevance in the size, complexity and scope of this RFP. Vendor shall:

Describe the vendor's experience as it pertains to providing products and services similar in size, complexity and scope to those required under this RFP and in the manner required pursuant to this RFP.

Provide the names, addresses, and telephone numbers for a minimum of five (5) customers for whom the vendor has provided similar products and services within the last 18 months. The vendor should include

a brief description of the scope of products and services provided to the customer and the duration of the contract. The Court may contact some or all of the references provided in order to determine the vendor's performance record. The Court reserves the right to contact references other than those provided in the proposal and to use the information gained from them in the evaluation process.

If the vendor's cost proposal includes re-manufactured or compatible products, the vendor's references must include customers that have used the re-manufactured or compatible products.

#### **3.4.2 Subcontracts**

If the vendor intends to subcontract, describe the vendor's experience with each of the proposed subcontractors. For each proposed subcontractor provide the names, addresses, and telephone numbers for a minimum of three (3) customer references, for products and services similar to those described in this RFP. The vendor should include a brief description of the scope of products and services provided to the customer and the duration of the contract.

### **3.5 Technical Approach and Methodology**

#### **3.5.1 Work Plan and Methodology**

Vendor shall provide a project plan that describes how the vendor intends to provide the requested products and services. The description shall include, but is not limited to, the following: Description of

- Consulting Team structure and role (including description of sales contact process, Consulting Team support, and periodic account review process)
- Communication process with the Court
- Technological Implementation – requirements from the court in regards to existing technology and/or building structural issues.
- Training (initial and ongoing)
- Time Schedule
- Program Evaluation

#### **3.5.2 Ordering Process**

Describe the ordering process and the various options available (e.g., Internet access, telephone, fax, etc.). Include the acknowledgement process (as required in [Section 4.1.3](#)).

#### **3.5.3 Customer Service**

Describe the level of customer service that will be provided for the pre-implementation, implementation and post implementation phases, including procedures that will ensure consistency and problem escalation and resolution. The description should include, but is not limited to:

- Customer service organizational structure
- Contact process (phone, email, fax, etc.)
- Follow up process
- Process to handle back ordered items
- Internal procedures to track customer service contact and resolution
- Escalation process to resolve outstanding customer service issues

### **3.5.4 Reports**

Vendor shall describe the common reports that are available to the Court. Include the type of report, the information provided in the report, the frequency of the report, the process to request the report, and the process used to deliver the report. Vendors are encouraged to include a sampling of common reports.

### **3.5.5 Invoicing**

Vendor shall describe its invoicing process, including but not limited to the following:

- Description of vendor's billing system
- Availability of consolidated billing and process for consolidated billing
- Frequency of billing (weekly, monthly, etc.)
- Examples of invoices currently in use

## **3.6 Cost Proposal**

### **3.6.1 Government Rates**

It is expected that all vendors responding to this solicitation will offer the vendor's government or most favorable comparable rates.

### **3.6.2 Pricing and Price Adjustments**

Vendor must submit pricing as required by Attachment D, Pricing Sheets. Pricing shall include all anticipated charges, including but not limited to, freight and delivery, cost of materials and product, travel expenses, overhead, profits, and other costs or expenses incidental to the vendor's performance.

The Court is exempt from federal excise taxes and no payment will be made for any taxes levied on the vendor's or any Subcontractor's employee's wages. The Court will pay for any applicable State of California or local sales or use taxes on the products provided or the services rendered. Taxes shall be included as a separate line item on a vendor's invoice.

The prices proposed in the proposer's response will be valid for a minimum of 1 year after any resulting contract is signed. The proposer's cost proposal must describe how future price increases will be minimized and capped and how both increases and decreases will be passed on to Court if the contract is renewed after the initial term. The proposer must explain the proposed process to implement price changes, and how the Court will be notified.

## **3.7 Required Proposal Forms and Documents**

### **3.7.1 Required Forms**

- a. Pricing & Specification Sheet – Attachment D (sealed in a separate envelope in accordance with Section 2.4.1)
- b. Vendor Certification Form – Attachment C
- c. Statement of Acceptance of Terms, in accordance with Section 3.7.2
- d. Capabilities and Responses – Attachment E

### **3.7.2 Acceptance of Terms**

The vendor's proposal must include a statement as to whether the vendor accepts the General Conditions in Section V or whether the vendor takes any exception to those terms. The vendor will be deemed to have accepted such terms and conditions, except as is expressly called out in the vendor's proposal. If

exceptions are taken, vendor must submit a “redlined” version of the term or condition showing all modifications proposed by the vendor.

The vendor must provide an explanation as to why the modification is required. The vendor’s willingness to accept the General Conditions, with minor clarifications, shall be an affirmative factor in the evaluation of the vendor’s proposal.

Although the Court will consider alternate language proposed by a vendor, the Court will not be bound by contract language received as part of a prospective vendor’s response. If the proposer requires that the Court be bound by some or all of the vendor’s proposed contract language, the proposal may be considered non-responsive and may be rejected.

#### **IV. STATEMENT OF WORK**

##### **4.1 Description of Products and Services to be provided**

###### **4.1.1 General Overview of Current File Tracking Process**

Legal case files are housed in various locations throughout the courthouse including the remote sites. The case files are stored on shelving units in numerical groupings. A colored numeric system has been implemented to facilitate identification and location of individual files within the divisions. Currently barcodes are printed on the file folders but are not used. The bar codes contain the case number of the file folder. These barcodes should be used with the new tracking system in addition to RFID.

The Civil Department handles all Adoption, Family Law, Civil, Juvenile 300, Probate and Small Claims case types in all 5 Court locations. The Civil clerks initiate cases thru the ACS Courts 4.1 Case Management System. Once the case has been created, it is routed to the Civil Calendar Clerk for processing. It is then filed in the Civil Department file storage area. Clerks in the Civil Department can pull a file at any time to perform maintenance on the case. If the Case is pulled and is sent to an outlying Court facility, the case number is entered into a Case Tracking Log Spreadsheet. If the Case is pulled for trial, an Out Card is manually put on the shelf in its place. That case number is then entered into a Case Tracking Register spreadsheet and routed to the appropriate Courtroom.

The Criminal Department handles all Felony, Juvenile Delinquency, Misdemeanor and Traffic case types in all 5 Court locations. The Criminal Clerks initiate cases thru the Mainframe Case Management System and is immediately routed to the corresponding Criminal Calendar Clerk. Once the case has been put on calendar, it is routed to the appropriate Courtroom for trial. When the trial is over, the case file is returned to the Criminal Department and filed in the Criminal Department file storage area. If the Case is pulled for trial, an Out Card is manually put on the shelf in its place unless the case needs to go to the Appeals Division.

It should be noted that an active legal case file is routed many times to multiple users on a daily basis within the Court of nearly 90 employees.

###### **4.1.2 Specifications for File Location System**

###### **4.1.2.0 General Requirements**

The File Location System (“System”) should provide a method and equipment for Court office staff members to check in and check out legal case files. The Court prefers that the bar codes be also used in addition to the RFID tracking. This system should have the potential to integrate seamlessly with-all applications that the Court currently utilizes.

The System should allow all Court staff members to view the location of a legal case file and the person to whom the file is checked out to. The System should have the ability to integrate with the ACS Courts 4.1 Case Management System in such a way to allow ACS to gather new data (file location, etc.) and populate it in the Case Jacket Tracking screen. A complete history associated with the case file that includes the prior file locations and the people who have checked out the file should be created and available for review in the application. The proposal should provide a complete description of the integration structure.

The System should allow a staff member to reserve a file for a future date and, through seamless interface with Microsoft Exchange 2003 or higher Versions, send an email reminder to anyone who has checked out a file that it is reserved for a future date. The Court prefers that this be done using Simple Mail Transfer Protocol (“SMTP”).

The Court prefers the System be up to date with current standards and current technology that have been established by EPC Global and other standard making organizations. The System should be fully upgradeable to take advantage of any new standards or technologies that should come available. The proposal should describe the upgrade process in detail.

The System must be able to attach a tracking code device that stores information to either a legal case file or loose materials. Such attachment must be able to withstand storage in a warehouse.

#### 4.1.2.1 Value Added Features

Vendors are encouraged to submit information on value added features within their Product that would allow the Court to become a Beta Site for the purpose of testing new Hardware or Software components, or other concepts.

Additionally, proposals offering the Technical Currency Program and Yearly maintenance made at no cost may be given additional consideration.(See Page 25, Section 5.1.2.2, Items D and E).

#### 4.1.2.2 Hardware and Software

- A. A vendor's proposal must include specifications and costs for all hardware and software that must be acquired to implement the file tracking application in the Court. In regards to RFID tags, vendor shall supply all tags for implementation. Tags used for the file tracking system should be widely available, easily located, and compliant with current ISO and EPC Global Standards. Tags supplied must be able to be read by several RFID readers regardless of Original Equipment Manufacturer ("OEM") origin. In addition the communication protocol from RFID tag to RFID reader should be available, under fair and equitable licensing conditions. After implementation it will be at the Court's discretion whether or not to use original vendor for additional RFID tags. **All proposed RFID tag frequencies must not interfere with currently installed Hearing Impaired Systems, Wireless Telephone Head Sets, Audio Systems, Court Cell Phones, Wireless Computers or Video Security System within the Court Facilities.**

The minimum requirements for the proposed Server should be a Rack Mount, G4 Intel Xeon Processor 3.00GHz or above, 2GB Memory, 5 each 72GB Pluggable Ultra320 SCSI Hard Drives in a RAID 5 configuration, Hot Plug Redundant Power Supply, dual-port Gigabit NIC Card, 1.44MB Floppy Disk Drive, and a CD-RW/DVD-ROM Combo Drive. The Operating System should be Windows 2003 Server Standard Edition with 5 CALS. The SQL Software should be SQL Server Standard Edition 2005 1 Processor License.

At the Court's option the vendor will supply necessary programmers to assist Court programmers in customization and integration of the Case Management system into the file location system. The proposal must specify the number of vendor staff hours and cost that would be required for customization and integration should this option be exercised. If integration into Case Management System occurs the Court requires the System to receive case-specific information from ACS Courts 4.1 and the Mainframe Case Management System. ACS Courts 4.1 and the Mainframe Case Management System are mainly case number driven but also have party ID ability, both of which are numeric fields. Case caption is included to provide more information and is a string with the name of the main defendant versus the other party. For each new file ACS Courts 4.1 and the Mainframe case management System will send the System the following information:

1. Case number-10 maximum digits
2. Case caption
3. Case type

Vendor's software must be compatible with software already used by the Court. The following list provides information about the current environmental standards used by the Court.

#### Customized Applications

ACS Courts 4.1	Case Management Systems
CORTS	Mainframe Case Management System
CIMS	Mainframe Case Management System
<u>Proprietary Applications</u>	
Microsoft Windows	Client Operating System – Windows XP (SP2)
Microsoft Windows Server	Network Management – MS Active Directory
<u>Business Application Components</u>	
Database Servers	Windows 2003
Application Servers	Windows 2003
Web Servers	Windows 2003 (IIS)
Oracle Servers	Windows 2000 and Windows NT 4.0
<u>Network Components</u>	
Exchange 2003 or higher Versions	Email System
<u>Programming Standards</u>	
Microsoft C++	Currently Used – ACS Courts 4.1
Micro Focus COBOL	Currently Used – ACS Courts 4.1
CICS COBOL	Currently Used – Mainframe Case Management

- B. In addition to the list above the vendor software must be browser-based and full program functionality available from a standard web browser (supported browsers Internet Explorer, Netscape, and Mozilla).
- C. The file location system must work seamlessly with Microsoft Exchange 2003 or higher Versions, Microsoft Windows, and Web browsers as noted above.
- D. The Vendor will be required provide information on their Technical Currency Program in regards to future software upgrades.
- E. The Vendor will be required to supply all recurring costs associated with Yearly Maintenance, Emergency Maintenance and Support.

**4.1.2.3 Pre-Implementation**

Before implementation of system, vendor shall provide consulting to the Court with best areas to install readers, antennas and all hardware necessary for the file location system. Areas where hardware is installed should be in areas that do not interfere with daily duties of Court staff, and if possible, inconspicuous. Placement should be in such a way to keep read rate errors to a minimum. The Court prefers read rate errors be at generally accepted industry standards.

**4.1.2.4 Implementation**

During implementation Court business must not be affected in any way. Any work done that might disrupt Court business must be done after hours or on weekends. All hardware that will be placed on walls, ceilings, and above doors must be installed in accordance with current State building safety standards. If option for integration into case management system is exercised, vendor shall have staff ready to assist in the customization and integration of the file location system into the case management system. Vendor must ensure minimal down time of case management system should integration occur.

**4.1.2.5 Post-Implementation**

After implementation vendor shall supply the Court with ongoing support. Support should be available via online access, phone, or on-site technical support.

#### 4.1.2.6 Capabilities and Responses

Please see: Attachment E-Capabilities and Responses

#### 4.1.3 Training

The Court expects Vendor to offer up to 40 hours of Training for 10 Staff members at no cost.

#### 4.1.4 Customer Service

The vendor's customer service process shall ensure that all customer service issues are addressed in a consistent manner, including problem escalation and resolution. The customer service process includes, but is not limited to:

- Customer service organizational structure
- Contact process (phone, email, fax, etc.)
- Follow up process
- Process to handle back ordered or out-of-stock products, including alternate suggestions and pricing policy
- Internal procedures to track customer service contact and resolution
- Escalation process to resolve outstanding customer service issues

#### 4.1.5 Delivery Requirements and Shipping Costs

Delivery shall be made as required on an individual Purchase Order or a blanket Purchase Order. All deliveries will be made F.O.B. (freight on board) destination as freight pre-paid. Any shipping cost or delivery fees shall be itemized separately to avoid payment problems. Vendors should assume that all deliveries will be Inside Deliveries as designated by a representative of the Court placing the order. Inside Delivery refers to a delivery to other than a loading dock, front lobby, or reception area. Specific delivery instructions will be noted on the order form or Purchase Order. Any damage to the building interior, scratched walls, damage to the freight elevator, etc., will be the responsibility of the vendor. When damage does occur, it is the responsibility of the vendor to immediately notify the Court.

The vendor shall bear the risk of loss or damage to the ordered goods until the goods are delivered to the place of business indicated on the Purchase Order.

**The vendor shall indicate any locations listed in Attachment B that may require more than three (3) days for delivery of goods.**

#### 4.1.6 Packaging

All products must be delivered in the manufacturer's standard package. Prices shall include all packing and/or crating charges. Cases shall be of durable construction, good condition, properly labeled and suitable in every respect for storage and handling of contents.

Each shipping carton shall be marked with the commodity, brand, quantity, item code number and the Court's Purchase Order number.

**4.1.7 Defective Product**

Any product found defective will be returned to the vendor at vendor's expense and replaced free of charge. Vendor shall supply pre-paid mailing labels, or shall pick up defective product name(s) at the Court's location. Defective product shall be replaced or monies refunded within three (3) working days after the vendor is notified.

**4.1.8 Rejection of Goods or Acceptance of Service**

The vendor will arrange for the return of all mis-ordered, mis-shipped, returned, or damaged items at no cost to the Court. There will be no restocking fee for returns of items that are damaged or shipped by the vendor in error. The vendor will not charge the Court for the return of any mis-ordered, mis-shipped or damaged items.

**4.1.9 Inventory**

The Court has an ongoing requirement for the products indicated in this RFP. The vendor or vendors awarded a contract, if any, shall maintain access to a reasonable stock of such products on hand for the term of the contract. Failure to maintain access to a reasonable stock may result in termination for default of the vendor's contract.

**4.2 Invoicing**

Prior to processing any invoice, a W9 form must be filled out and received by the Court. All invoices must include: Company name and address, invoice number, itemized billing information and amount due

**V. GENERAL CONDITIONS**

The General Conditions are included in this solicitation document as Attachment A, Contract Terms and Conditions.

**VI. ATTACHMENTS**

Attachment A	Contract Terms & Conditions
Attachment B	Court Locations
Attachment C	Vendor Certification Form
Attachment D	Pricing & Specification Sheet
Attachment E	Capabilities and Responses

**ATTACHMENT A  
CONTRACT TERMS AND CONDITIONS**

**1. Accounting.**

Contractor will maintain a system of accounting and internal controls that meets Generally Accepted Accounting Principles (U.S. GAAP).

**2. Audit: Retention of Records**

- A. **Audit.** Upon reasonable notice, Contractor will provide to Court, to any federal or state entity with monitoring or reviewing authority, or to Court's authorized representatives, access to and the right to examine and audit all records and documents relating to performance and billing under this Agreement, and, as necessary, to determine compliance with relevant federal, state, and local statutes, rules, and regulations. Contractor agrees to provide Court with all relevant information requested, and will permit access to its premises at reasonable times, for the purpose of interviewing employees and inspecting and copying any relevant records.
- B. **Retention of Records.** Contractor will maintain all financial Data, supporting documents, and all other records relating to performance and billing under this Agreement for a period in accordance with state and federal law. The minimum retention period will be four years from the date of the submission of the final payment request or until audit findings are resolved, whichever is later.

**3. Assignment**

Contractor will not assign its rights or obligations under this Agreement, either in whole or in part, without the prior written consent of Court, in the form of an Amendment.

**4. Choice of Law: Jurisdiction and Venue**

- A. **Choice of Law.** This Agreement and performance under it will be exclusively governed by the laws of the State of California without regard to its conflict of law provisions.
- B. **Jurisdiction and Venue.** Contractor irrevocably consents to the exclusive jurisdiction and venue of the state and federal courts located in San Francisco, California in any legal action concerning or relating to this Agreement.

**5. Certifications and Representations** (Contractor's signature on the cover page shall also serve as certification for the following paragraphs, A-F).

- A. **ADA Compliance.** Contractor certifies that it and its Subcontractors comply with applicable provisions of the Americans with Disabilities Act ("ADA") of 1990 (42 U.S.C. Sections 012101 *et seq.*), which prohibits discrimination on the basis of disability, as well as with all applicable regulations and guidelines issued pursuant to the ADA.
- B. **FEHA Compliance.** Contractor certifies that it and its Subcontractors comply with all applicable provisions of the Fair Employment and Housing Act, Calif. Gov. Code, § 12990 *et seq.*, and all applicable regulations promulgated under Calif. Code of Regulations, title 2, § 7285 *et seq.* Contractor certifies that it and its Subcontractors will not unlawfully discriminate against any employee or applicant for employment because of race, religion, color, national origin, ancestry, disability, marital status, age (over 40), sex, or sexual orientation. Contractor will ensure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor certifies that it and its Subcontractors will not unlawfully harass, including sexually harass, any persons with whom Contractor or its Subcontractors interact

in the performance of this work. Contractor and its Subcontractors will take all reasonable steps to prevent such harassment.

- C. **Drug-free Workplace.** Contractor certifies that it and its Subcontractors will provide a drug-free workplace as required by Calif. Gov. Code, § 8355-8357.
- D. **Labor/Collective Bargaining.** Contractor certifies that it and its Subcontractors will provide notice of their obligations under the foregoing provisions to labor organizations with which it or they have collective bargaining agreements, prior to execution of this Agreement.
- E. **National Labor Relations Board (NLRB) Certification.** Contractor certifies that, within the immediately preceding two-year period, no more than one final, unappealable finding of contempt of court by a federal court has been issued against Contractor because of Contractor's failure to comply with an order of the National Labor Relations Board.
- F. **Prohibition Against Hiring Court Employees.** Contractor certifies and will require all Subcontractors to certify to the following:  
“Former Court employees will not be offered employment position for two years from the date of separation, if that employee participated in the decision-making process relevant to the Agreement, or for one year from the date of separation if that employee was in a policy-making position in the same general subject area as the proposed Agreement, within the prior twelve-month period of Court employment.”

## 6. Changes in Work: Stop Work and Changes in Orders

### A. Changes in Work

A.1. Court reserves the right to require Contractor to make changes in the Work, as set forth in Exhibit D, Statement of Work, which may include additions, deletions, or modifications to the Work, or changes in the timing or level of effort for the Work.

A.2. For any change proposed by Court or Contractor, Contractor will submit in writing:

- a) a description of the proposed change and the reasons for the change;
- b) a summary of the total compensation to be paid Contractor with a breakdown of tasks and costs, including any reduction in work or costs resulting from the change;
- c) a statement of the expected impact on schedule.

A.3. If Court and Contractor agree on a change, Court will issue an Amendment documenting the change, for the parties' execution.

A.4. If the parties cannot agree to the terms of a change, Contractor will proceed diligently with Work unless otherwise directed by Court, and any continuing disagreement will follow the process set forth in the provisions entitled “Dispute Resolution.” Contractor should not proceed with any change prior to receiving a written directive or Amendment from Court. All costs for changes performed by Contractor without Court's prior written approval will be at Contractor's sole risk and expense.

### B. Stop Work

B.1. Court may, at any time, by delivery of a written Stop Work Order to Contractor, require Contractor to stop any or all of the Work, for ninety days after the Stop Work Order is delivered to Contractor, and for any further period to which the parties may agree.

B.2. Upon receipt of the Stop Work Order, Contractor will immediately comply with its terms and take all reasonable steps to minimize the costs incurred to Court during the applicable Stop Work period. Within ninety days after a Stop Work Order is delivered to Contractor, or within any mutually agreed

extension of that period, Court will either cancel the Stop Work Order or terminate the Work, as provided in Section 21 (Termination).

B.3 If a Stop Work Order is cancelled, or the period of the Stop Work Order or any extension thereof expires, Contractor will resume Work. Court may make an equitable adjustment in the delivery schedule, the Contract Amount, or both, if (a) the Stop Work Order increases Contractor's costs or the time required for performance; and (b) Contractor asserts its right to an equitable adjustment within thirty days after the end of the applicable Stop Work period.

B.4 If a Stop Work Order is not canceled and the Work covered by the Stop Work Order is terminated other than for cause, Court may allow reasonable costs resulting from the Stop Work Order.

B.5 Court will not be liable to Contractor for loss of profits because of any Stop Work Order.

### **C. Change in Orders**

C.1. Court reserves the right to require Contractor to make changes to Orders, which may include additions, deletions, or modifications of any Order.

C.2. For any change proposed by Court or Contractor, Contractor will submit in writing:

- a) a description of the proposed change and the reasons for the change;
- b) a summary of the total compensation to be paid Contractor with a breakdown of costs, including any reduction in costs resulting from the change;
- c) a statement of the expected impact on schedule.

C.3. If Court and Contractor agree on a change, Court will issue an Amendment documenting the change, for the parties' execution.

C.4. If the parties cannot agree to the terms of a change, Contractor will proceed diligently with the Order unless otherwise directed by Court, and any continuing disagreement will follow the process set forth in the provisions entitled "Dispute Resolution." Contractor should not proceed with any change prior to receiving a written directive or Amendment from Court. All costs for changes performed by Contractor without Court's prior written approval will be at Contractor's sole risk and expense.

## **7. Confidential Information**

A. **Requirements of Strict Confidence.** While performing Work under this Agreement, Contractor and its Subcontractors may gain access to Confidential Information that, if disclosed to Third Parties, may be damaging to Court, its personnel, court users, or other government entity. Neither Contractor nor its Subcontractors acquires any right or title to the Confidential Information, and Contractor and its Subcontractors agree not to disclose any Confidential Information to any Third Party. All Confidential Information disclosed to Contractor or its Subcontractor will be held in strict confidence and used only in performance of Work under this Agreement. In the event of any unauthorized disclosure or loss of Confidential Information, Contractor will immediately provide Notice to Court, with pertinent details of the unauthorized disclosure or loss, and any remedial measures taken.

B. **Permissible Disclosures.** Contractor may disclose Court's Confidential Information on a law, rule, regulation, or ruling; (ii) respond to any enforceable summons or subpoena; or (iii) enforce its rights under this Agreement.

## **8. Conflict of Interest**

A. **Conflict of Interest.**

A.1 Contractor covenants that it and its Subcontractors presently have no interest, and will acquire no interest, which would directly or indirectly conflict in any manner or to any degree, with the full and complete performance required under this Agreement. Contractor further agrees to submit full disclosure

statements, if required by law to do so, pursuant to the requirements of the California Fair Political Practices Act or any other applicable federal or state law, regulation, or conflict of interest code.

A.2 Contractor and its Subcontractors and employees will not participate in proceedings that involve the use of Court funds or that are sponsored by Court if the Contractor, its Subcontractors, or their employees, principals, partners, family members, or organizations have a financial interest in the outcome of the proceedings.

A.3 Contractor and its Subcontractors and employees will not engage in actions resulting in, or creating the appearance of:

- a) use of an official position with the government for private gain;
- b) preferential treatment to any particular person associated with this Work or Agreement;
- c) impairment of Court's independence or impartiality;
- d) a decision made outside official channels; or
- e) adverse effects on the confidence of the public in the integrity of Court.

**B. Prohibition Against Gratuities.**

B.1 Contractor covenants that no gratuities, in the form of entertainment, gifts, or otherwise, were offered by Contractor or any agent, director, or representative of Contractor, to any officer, official, agent, or employee of Court, in an effort to secure the Agreement or favorable treatment with respect to any determinations concerning the performance of the Agreement.

B.2 For any breach or violation of this covenant, Court has the right to terminate the Agreement for cause, wither whole or in part. Any loss or damage sustained by Court in procuring, on the open market, replacement goods or services that Contractor agreed to provide, will be borne and paid for by Contractor. Court's rights and remedies under this provision are in addition to any other rights and remedies provided by law or under this Agreement.

**9. Consideration**

The consideration paid to Contractor is the entire compensation for all Work performed under this Agreement, including all of Contractor's expenses incurred, such as travel and per diem expenses, unless otherwise expressly provided, as set forth in Exhibit C (Payment).

A. **Payment Does Not Imply Acceptance of Work.** Court's payment will not relieve Contractor from its obligation to replace unsatisfactory Work, even if the unsatisfactory character of such Work may have been apparent or detected at the time such payment was made. Work, Data, or components that do not conform to requirements of this Agreement will be rejected, and will be replaced by Contractor, without delay or additional cost to Court.

B. **Disallowance.** If Contractor receives payment from Court for a service or reimbursement that is later disallowed or rejected by the Court, Contractor will promptly refund the disallowed amount to Court upon Court's request. At its option, Court may offset the amount disallowed from any payment due to Contractor, under this Agreement or any other agreement.

**10. Contractor Status**

**A. Independent Contractor**

A.1 Contractor, Subcontractors, and their officers, agents, employees, and all others acting on behalf of Contractor for this Work, act as independent contractors and not as Court agents, officers or employees. Contractor has no authority to bind or incur any obligation on behalf of Court. Except as expressly provided in Exhibit D (Statement of Work), Contractor has no authority or responsibility to exercise any rights or power vested in Court.

- A.2 This Agreement will not be considered under any circumstance to create a joint-venture relationship.
- A.3 If any governmental entity concludes that Contractor is not an independent contractor, Court may terminate this Agreement immediately upon Notice. Alternatively, Contractor may agree to a reduction in Court's financial liability, so that Court's total costs under this Agreement do not exceed the originally contemplated amount.

**B. Contractor's Employees**

- B.1 Contractor's employees will be entirely and exclusively under the direction, supervision, and control of Contractor. All terms of employment including hours, wages, working conditions, discipline, hiring, and termination, or any other employment issues or requirements of law, will be determined by Contractor.
- B.2 Contractor will issue W-2 forms or other forms as required by law for income and employment tax purposes for all of Contractor's employees, consultants, and independent contractors.
- B.3 If the Internal Revenue Service or any other federal or state governmental entity should investigate or challenge Contractor's independent status with respect to Court, the parties agree that (i) each will inform the other party of such investigation or challenge; and (ii) Court will have the right, but not the obligation, to participate in any discussion or negotiation occurring with the federal or state entity, regardless who initiates such discussions or negotiations.
- B.4 Contractor will indemnify and hold Court harmless from all claims, costs, and liabilities resulting from third-party actions alleging an employment relationship between Court and any Contractor or Subcontractor personnel.

- C. **Exclusive Control of Means and Method of Performance.** Contractor will determine the method, details, and means of performing or supplying the Work under this Agreement. Contractor will be responsible to Court only for the requirements and results specified in this Agreement and more particularly as set forth in Section IV – Statement of Work, and will not be subjected to Court's control with respect to the physical action or activities of Contractor in fulfillment of this Agreement. Contractor will have the "right to control" and bear the sole responsibility for the job site conditions and safety.

**D. Permits, Laws, and Regulations**

- D.1 Contractor must observe and comply with all applicable laws, rules, and regulations affecting the Work. During the term of this Agreement, Contractor will obtain and keep in full force and effect, all permits and licenses necessary to accomplish the Work. Such permits and licenses will be made available to Court, upon request.
- D.2 Contractor will promptly provide Notice to Court of any conflict discovered between the Agreement and any applicable laws, rules, regulations, and/or permits and licenses, and await resolution of the conflict. If Contractor proceeds with the Work in question without resolution of the conflict, Contractor will be solely liable for any costs, fines, penalties, or damages that accrue, including costs for remedial work required to comply with such requirements.

**E. Subcontracting**

- E.1 Contractor will not engage a Subcontractor to perform any portion of this Work, without the express written consent of Court. Any subcontracting without Court's written consent is a material breach of this Agreement.
- E.2 Contractor warrants and represents that all Subcontractors will be subject to the same terms and conditions applicable to Contractor under this Agreement. Contractor will incorporate this Agreement as the prime agreement in any subcontracting relationship. Contractor will be liable for all Subcontractor acts or omissions, including indemnity obligations.

- F. **Signature Authority.** Contractor has all requisite power and authority to conduct its business, and to execute and perform the Agreement.

### **11. Dispute Resolution**

Court and Contractor will attempt, in good faith, to resolve any disputes informally. Contractor will meet with Court's Project Manager or other designated representative to discuss the matter and any actions necessary to resolve a dispute.

#### **A. Escalation.**

A.1 If a dispute remains unresolved following Notice by either party, each party's Chief Executive Officer ("CEO") or designated representative will meet to exchange information and attempt resolution within fifteen days of the effective date of the Notice.

A.2 If the matter is not resolved as set forth in section 11.A.1, the aggrieved party will submit a second Notice which will:

- a) provide detailed factual information;
- b) identify the specific provisions in this Agreement on which any demand is based;
- c) Advise if the demand involves a cost adjustment and, if so, provide the exact amount, accompanied by all supporting records;
- d) Attach a declaration that the demand is made in good faith, the supporting data are accurate and complete, and the amount requested properly reflects the necessary adjustment. Notice will be signed by an authorized representative of the aggrieved party.

A.3 Each party will comply with reasonable requests for additional information. Any additional information will be provided within fifteen days after receipt of a written request, unless otherwise agreed.

#### **B. Confidentiality During Dispute Resolution**

B.1 All dispute resolution negotiations are considered confidential, and will be treated as compromise and settlement negotiations, to which California Evidence Code § 1152 applies.

B.2 Pending final resolution of any dispute, Contractor agrees to proceed diligently with the performance of the Work, including Work associated with the dispute, unless otherwise directed by Court. Contractor's failure to diligently proceed in accordance with Court's instructions will be considered a material breach of the Agreement.

### **12. Force Majeure**

A. Force Majeure events include, but are not limited to:

1. catastrophic acts of nature, or public enemy;
2. civil disorder;
3. fire or other casualty for which a party is not responsible; and
4. quarantine or epidemic.

The party asserting a Force Majeure event will immediately provide Notice to the other party of the occurrence and nature of the Force Majeure event, and its expected impact on schedule. The party claiming Force Majeure will use commercially reasonable efforts to continue or resume performance, including alternate sources or means. Contractor will have no right to additional payment for costs incurred as a result of a Force Majeure event.

B. Any assertion of a Force Majeure event by Subcontractors will be attributed to Contractor.

**13. Indemnification**

- A. **To the fullest extent permitted by law, Contractor will indemnify, hold harmless, and defend (with counsel satisfactory to the Court) Court and its agents, officers, and employees from and against any and all claims, damages, losses, judgments, liabilities, expenses, and other costs, including litigation costs and attorneys' fees, arising or resulting from, or in connection with, the performance or breach of this Agreement by Contractor or its officers, employees, agents, representatives, or Subcontractors. Such indemnification will not include loss, damage, or expense arising from the sole negligence or willful misconduct of Court or its agents, officers, and employees.**
- B. For Contractor's acts, errors, or omissions which are covered by Contractor's Professional Liability insurance, Contractor will provide the above indemnification for that proportion of damages, costs, and liabilities that are attributed to Contractor, or any of its Subcontractors, but not for Court's proportionate share of liability.
- C. Contractor's obligation to defend, indemnify, and hold Court and its agents, officers, and employees harmless is not limited to, or restricted by, any requirement in this Agreement that Contractor procure and maintain a policy of insurance.

**14. Insurance**

- A. **General Insurance Requirements.** Contractor will obtain and maintain the minimum insurance set forth in subparagraph B, below. By requiring such minimum insurance, Court will not be deemed or construed to have assessed the risks applicable to Contractor. Contractor will assess its own risks, and if it deems appropriate and/or prudent, maintain greater limits and/or broader coverage. For full coverage, each insurance policy shall be written on an "occurrence" form; excepting that insurance for professional liability, when required, may be acceptable on a "claims made" form. If coverage is approved and purchased on a "claims made" basis, Contractor warrants continuation of coverage, either through policy renewals or the purchase of an extended discovery period, for three years from the date of completion of the Work.
- B. **Minimum Scope & Limits of Coverage.**  
Contractor will maintain the following coverages:
1. Workers' Compensation at statutory requirements of the state of residency.
  2. Employers' Liability with minimum limits of **\$1,000,000.00** for each accident.
  3. Commercial General Liability Insurance with minimum limits of **\$1,000,000.00** for each occurrence, Combined Single Bodily Injury and Property Damage and Personal Injury. If coverage is subject to an aggregate limit, that aggregate limit will be twice the occurrence limit.
  4. Business Automobile Liability Insurance with minimum limits of **\$1,000,000.00** for each occurrence, Combined Single Limit Bodily Injury and Property Damage, including owned and non-owned and hired automobile coverage, as applicable.
  5. Excess coverage, at the same limits specified for Comprehensive General Liability: Contractual Liability, Independent Contractor, Broadform Property Damage, Personal Injury, Product, and Completed Operation coverage.
- C. **Deductibles and Self-Insured Retentions.** Any deductibles or self-insured retentions must be declared to, and approved by, Court. The deductible and/or self-insured retentions will not limit or apply to Contractor's liability to Court and will be the sole responsibility of Contractor.
- D. **Endorsements; Additional Insured's.**  
The General Liability policy will contain, or be endorsed to contain, the following provisions:

1. Court, its officers, officials, employees and agents will be covered as additional insureds for liability arising out of activities performed by, or on behalf of, Contractor;
2. To the extent of Contractor's negligence, Contractor's insurance coverage will be primary insurance as respects Court, its officers, officials, employees and agents. Any insurance and/or self-insurance maintained by Court, its officers, officials, employees or agents will not contribute with the insurance, or benefit Contractor in any way;
3. Contractor's insurance will apply separately to each insured against whom a claim is made and/or lawsuit is brought, except with respect to the limits of the insurer's liability;
4. Contractor will provide Court certificates of insurance satisfactory to Court, evidencing all required coverages before Contractor begins any Work, and complete copies of each policy upon Court's request;
5. If at any time, the foregoing policies become unsatisfactory to Court, as to form or substance, or if a company issuing any such policy becomes unsatisfactory to Court, Contractor will, upon Notice from Court, promptly obtain a new policy, and submit the same to Court, with the appropriate certificates and endorsements, for approval;
6. All of Contractor's policies will be endorsed to provide Notice to Court of cancellation, non-renewal, and reduction in coverage, within fifteen days, mailed to the Court's representative for Notices named on the Contract Cover Sheet. Such notice will reference the relevant project, and contract number.

**E. Waiver of Subrogation. Contractor and its insurance carrier waive any and all rights of subrogation against the Judicial Branch Entities. This waiver will be reflected on the Certificate of Insurance, provided by Contractor. If Contractor fails to obtain the appropriate waivers of subrogation, additional insured status, or certificates of insurance from carrier, Contractor will indemnify Court from all costs and liability caused by Contractor's breach.**

**15. Limitation of Liability**

Court will not be liable to Contractor, its officers, employees, Subcontractors, or Third Parties for any indirect, special, or consequential damages, including lost profits or revenue, arising from or relating to this Agreement, regardless whether Court was advised of the possibility of such loss or damage. In no event will Court's liability for direct damages arising from or related to this Agreement, for any cause whatsoever, and regardless of the form of action, whether in contract or in tort, exceed the amounts paid to Contractor by Court under this Agreement.

**16. Modification**

No modification or change to this Agreement will be valid without written approval by Court, in the form of an Amendment, including any changes to Exhibit D (Statement of Work).

**17. Prohibited Bids for End Product of this Agreement**

No person, firm, or subsidiary thereof which has been awarded a consulting services agreement may submit a bid for, or be awarded an agreement for, the provision of services, procurement of Materials or Data, or any other related action which is required, suggested, or otherwise deemed appropriate in the end product of this Agreement. This provision will not apply to any person, firm, or subsidiary thereof, which is awarded a subcontract under this Agreement in an amount no more than ten percent of the total monetary value of this Agreement.

**18. Public Contract Code References**

References to the Public Contract Code are provided for convenience only. The Public Contract Code does not apply to Court, but is referenced to clarify Contractor's obligations, if specific code sections are cited.

**19. Scope of Work; Acceptance**

A. **Scope of Work.** Contractor will perform and complete all Work described in Exhibit D – Statement of Work, in compliance with the requirements of this Agreement, and to the satisfaction of Court.

**B. Acceptance**

B.1 All Work provided by Contractor under this Agreement is subject to written acknowledgement and acceptance by Court's Project Manager. The Court's Project Manager will apply the acceptance criteria set forth in Exhibit D – Statement of Work, (including timeliness, completeness, technical accuracy and conformance to statistical, industry or marketplace standards) to determine acceptance or non-acceptance of the Work..

B.2 Project Manager shall use the Acceptance and Sign-off Form (in the form provided as Attachment 1) to notify the Contractor of acceptance or non-acceptance.

B.3 If the Work is not acceptable, Project Manager shall detail its failure to meet the acceptance criteria. Contractor shall have ten business days from receipt of Acceptance and Sign-Off Form to correct the failure(s) to conform to the acceptance criteria. Contractor will re-submit the Work and the Project Manager shall re-apply the acceptance criteria to determine its acceptance or non-acceptance. Thereafter, the parties shall repeat the process set forth in this Section 18 until Contractor's receipt of Court's written acceptance of such corrected Work; provided, however, that if Court rejects any Work on at least two (2) occasions, Court may terminate that portion of this Agreement which relates to the rejected Work at no expense to Court.

C. **Prior Work.** Prior work, performed by Contractor pursuant to Court's authorization, but before execution of this Agreement, will be considered as having been performed subject to the provisions of this Agreement.

D. **Non-Exclusivity.** This is a non-exclusive agreement. Court reserves the right to perform, or have others perform the Work of this Agreement. Court reserves the right to bid the Work to others or procure the Work by other means.

**20. Standard of Performance: Warranties**

A. **Standard of Performance.** Contractor will perform all Work with the requisite skill and diligence consistent with professional standards for the industry and type of work performed under the Agreement, and pursuant to the governing rules and regulations of the industry. Contractor understands that Court relies on the accuracy, competence, and completeness of Contractor's services.

**B. Warranties**

B.1 Contractor warrants and represents that the Work and all Deliverables furnished will conform to the requirements of this Agreement and such Work and Deliverables will be merchantable, fit for their intended purposes, free from all defects in materials and workmanship, and, to the extent not manufactured pursuant to detailed designs furnished by Court, free from defects in design. Court's approval of designs or specifications furnished by Contractor will not relieve Contractor of its obligations under this warranty.

B.2 **Non-Infringement.** Contractor represents and warrants to Court that it is and will be either own, or be authorized to use for its own and the Court's benefit, all intellectual property rights used and to be used in connection with providing and/or performing the Work.

B.3 All warranties will inure to Court, its successors, assigns, customer agencies, and users of the Work provided hereunder.

B.4 Unless otherwise specified, the warranties set forth in this Section 19 commence after Work has been approved and accepted by Court.

**C. Personnel Requirements**

C.1 Contractor will use adequate numbers of qualified individuals with suitable training, education, experience, and skill to perform the Work. For continuity, Contractor will endeavor to retain the same individuals (listed in Exhibit. E: Attachments) during the performance of Work.

C.2 Court reserves the right to disapprove Contractor's personnel, if dissatisfied with their performance. Upon receipt of such Notice, Contractor will immediately assign replacement personnel, with equivalent or greater experience and skills, who are acceptable to the Project Manager.

C.3 Contractor will be responsible for all costs associated with replacing personnel, including additional costs to familiarize replacement personnel with the Work. If Contractor does not promptly furnish replacement personnel acceptable to the Project Manager, Court may terminate this Agreement for cause.

**D. Background Checks.** For Contractor's employees, Subcontractors, or agents performing work, and with access to Court's systems (on-site or remotely) in the performance of their Work under this Agreement, Court will have the right, but not the obligation, to request or conduct a background check, before granting access to Court's premises or systems or at any other time. Contractor will cooperate with Court in performing any background checks, will provide prompt Notice to Court of (i) any person refusing to undergo such background check, and will immediately remove such person from the project, and (ii) the results of any background check as requested by Court. Contractor will obtain all releases, waivers, or permissions required for the release of such information to Court. Any additional costs will be borne by Contractor.

**21. Survival**

Terms that will survive termination or expiration of this Agreement include, but are not limited to: Assignment, Audit Rights and Retention of Records, Confidentiality, Indemnification, Limitation of Liability, Warranties.

**22. Termination**

**A. Termination for Cause.** Court may terminate this Agreement, in whole or in part, and be relieved of any payments, if Contractor fails to perform the requirements of this Agreement at the time and in the manner agreed. Court may proceed with the Work in any manner deemed proper. All costs to Court arising from Contractor's default, including costs to complete or correct the Work, will be deducted from any sum due Contractor. Contractor will not be entitled to recover overhead or profit on the uncompleted portions of the Work.

**B. Termination for Convenience**

B.1 Court may terminate this Agreement, in whole or in part, at any time and for any reason, upon at least ten days Notice to Contractor. Upon receipt of the termination Notice, Contractor will promptly discontinue Work as specified in the Notice.

B.2 If Court terminates all or part of this Agreement other than for cause, the Court will pay Contractor for the Work satisfactorily performed prior to the termination. Contractor will not recover overhead or profit on the uncompleted portions of the Work.

**C. Termination Due to Fund Appropriation and Availability.**

**C.1 Court's obligations under this Agreement are subject to the availability of funds authorized for this Work. Expected or actual funding may be withdrawn, reduced, or limited prior to the expiration**

**or other termination of this Agreement. Funding beyond the current Appropriation Year is conditioned upon appropriation of sufficient funds to support the activities described in this Agreement.**

C.2 Upon Notice, Court may terminate this Agreement in whole or in part, without prejudice to any right or remedy of Court, for lack of appropriation of funds. Upon termination, Court will pay Contractor for the fair value of Work satisfactorily performed prior to the termination, not to exceed the total Agreement amount.

**D. Effect of Termination.**

D.1 Upon any expiration or termination, Court will have the right to take possession of any materials, equipment, Deliverables, and other Work including partially completed Work. Contractor will immediately assign to Court all of Contractor's right, title, and interest in and to such Work and related materials and work product, and any and all intellectual property rights.

D.2 Upon termination of any kind, Court may withhold from payment any sum that Court determines to be owed to Court by Contractor, or necessary to protect Court against loss due to outstanding liens or claims of former lien holders.

**23. Time is of the Essence.** Time of performance is of the essence in the performance of services by Contractor under this Agreement.

**24. Waiver; Severability**

A. **Waiver of Rights.** Court's action, inaction, or failure to enforce any right or provision of this Agreement is not a waiver of its rights, and will not prevent Court from enforcing such rights on any future occasion.

B. **Severability.** The provisions of this Agreement will be effective in all cases, unless otherwise prohibited by applicable state or federal law. The provisions of this Agreement are separate and severable. The invalidity of any sentence, paragraph, provision, section, or portion of this Agreement will not affect the validity of the remainder of this Agreement.

**25. Entire Agreement**

A. Headings or captions to the provisions of this Agreement are solely for the convenience of the parties, are not part of this Agreement, and will not be used to interpret or determine the validity of this Agreement.

B. This Agreement was negotiated between the parties, and neither party "prepared" this Agreement for purposes of California Civil Code §1654. Any ambiguity will not be construed against the drafter, but rather the terms and provisions will be given a reasonable interpretation.

C. This Agreement constitutes the entire and final understanding of the parties regarding this matter, and supersedes and terminates any and all prior or contemporaneous negotiations, representations, understandings, discussions, offers, proposals, or agreements between the parties, whether written or oral, express or implied, relating in any way to the this matter.

*END OF CONTRACT TERMS AND CONDIITONS*

**ATTACHMENT B  
COURT LOCATIONS**

Kings County Superior Court – Hanford Division  
1426 South Drive, Buildings A, B and C  
Hanford, CA 93230

Kings County Superior Court – Hanford Juvenile Division  
1424 Forum Drive  
Hanford, CA 93230

Kings County Superior Court – Avenal Division  
501 E. Kings Street  
Avenal, CA. 93204

Kings County Superior Court – Corcoran Division  
1000 Chittenden Avenue  
Corcoran, CA. 93212

Kings County Superior Court – Lemoore Division  
449 C Street  
Lemoore, CA. 93245

**ATTACHMENT C  
VENDOR CERTIFICATION FORM**

**I certify that neither \_\_\_\_\_ (Proposer) nor any of its proposed subcontractors are currently under suspension or debarment by any state or federal government agency, and that neither Proposer nor any of its proposed subcontractors are tax delinquent with the State of California. I have listed all contracts with government or commercial customers during the five years preceding submission of this Proposal.**

**I acknowledge that if Proposer or any of its subcontractors subsequently are placed under suspension or debarment by a local, state or federal government entity, or if Proposer or any of its subcontractors subsequently become delinquent in California taxes, our Proposal may be disqualified.**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Printed Name**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Date**

**VENDOR CERTIFICATION FORM (CONTINUED)**

**List of all Contracts with Government or Commercial Customers  
during the Five Years preceding Submission of this Proposal**

**ATTACHMENT D  
PRICING & SPECIFICATION SHEET**

Pricing sheet will need to include all charges for hardware, software (include licensing fees), delivery & shipping, installation, and if used, cost of implementation and integration of software to current case management system. RFID tag pricing will need to be based on amount of tags purchased (5,000; 10,000; 50,000; 100,000; 150,000; etc.). Also required are the following specifications:

1. Provide information regarding your repair policy.
2. Provide product warranty information.
3. Describe your update management feature.
4. RFID Tracking Pad/Antenna Specifications and Descriptions:
  - a. List required components and cables
  - b. Tracking Pad (dimensions)
  - c. RFID Antenna (dimensions)
  - d. Read Range of both the tracking pad and RFID Antenna
  - e. Storage Temperature
  - f. Number of files that can be checked in and out at one time
  - g. Humidity
  - h. Unit Cost
  - i. Read rate error percentage

RFID Handheld Tracker Specifications and Descriptions:

- a. Operating Temperature
- b. Storage Temperature
- c. Humidity
- d. Memory Card
- e. Battery Type
- f. Battery Charger
- g. Typical Charge Life
- h. Typical time to fully charge a battery
- i. Read Range
- j. Unit Cost
- k. Read rate error percentage

14. RFID Tracking Tag Specifications and Descriptions:

- a. Operating Frequency
- b. Antenna Size
- c. Tag Power Source
- d. Number of read cycles
- e. Number of write cycles
- f. Anti-collision type
- g. Memory Format
- h. RFID Inlay Operating Temperatures
- i. Long-term Storage and operating temperatures for the RFID tag
- j. Tag Shelf Life
- k. RFID Tag Construction
- l. RFID Tag Format
- m. Unit Cost

**ATTACHMENT E**  
**ADDITIONAL REQUIREMENTS AND RESPONSES**

<b>Tracking</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to track active files, performing check-in and check-out from file areas.	
	Ability to manage inactive files and boxes, Exhibits/Evidence, Court Assets, performing boxing, staging for archiving, transmit to archives, retrieve from archives.	
	Ability to assign files to a specific shelf.	
	Ability to track a box – such as doing check-out – without needing to scan each file	
	Ability to record any movement in a batch process. This allows the capability to process multiple files as one transaction in order to minimize the effort needed to perform a function.	
	Ability to show current location of files, boxes, etc., in an English-like sentence. Examples: <i>Out to John Smith (ext 1232)</i> <i>In Box 12345 In Basement</i> <i>On Shelf A-2-C In Criminal</i>	
	Ability to instruct a user how to return a file back to its home location (shelf, box, archive, etc.) Examples: <i>Return Shelf A-2-C In Criminal</i> <i>Return to Box 12345 In Basement</i>	
	Store each movement in a location history that can be viewed, but cannot be edited or altered.	
	Ability to track an unlimited hierarchy of file in box, subfolder in file, document in subfolder, etc.	
	Ability to view a complete hierarchy on one screen at any level.	
	Provide a status monitor listing attention items like: overdue items, pending	

	requests, pending archive orders, etc.	
	Ability to record any movement in a batch process. This allows the capability to process multiple files as one transaction in order to minimize the effort needed to perform a function.	

<b>RFID Technology</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to place RFID Antennas at strategic points to capture movement of files in and out of those regions.	
	Ability to configure RFID Antennas to represent locations or individuals	
	Ability to use direct-connect RFID readers that plug into a PC.	
	Ability to streamline movements such as check-in, check-out, boxing, etc., with RFID reading.	
	Ability to interact with a portable scanner that is simple to use, with color screen, and menu system that makes it easy for staff to use.	
	Ability to use a portable scanner to record movements using RFID reading: <ul style="list-style-type: none"> <li>• Check in</li> <li>• Check out</li> <li>• Transfer</li> <li>• Assign files to box</li> <li>• Assign files to shelf</li> <li>• Stage boxes for archiving</li> <li>• Receive from archive</li> </ul>	
	Ability to use a portable scanner to conduct physical inventories or audits and generate discrepancy reports: <ul style="list-style-type: none"> <li>• File Area inventory</li> </ul>	

	<ul style="list-style-type: none"> <li>• Desk audit</li> <li>• Audit contents of a box</li> </ul>	
	Ability to flag files as missing and search for them with the portable reader, generating an audible alert when found.	

<b>Searching</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Allow searching and sorting of results on any user-defined field.	
	Provide additional fields for searching, including: current location, checked out to, creation date, due back date, date to destroy, date to archive, etc.	
	Ability to search with criteria in one or more fields at any one time.	
	Ability to sub-select – view and alter the original search criteria to be more specific.	
	Ability to search on text fields using wildcards, relational operators, and ranges.	
	Ability to search on date field using ranges and relational operators.	
	Support global keyword searching to find specified values in any text field.	
	Support compound searches using AND/OR.	
	Ability to select more than one option in searches involving values from a lookup list.	
	Ability to create and save user-defined searches.	
	Ability to configure fields to appear in search results and the sort order.	
	Ability to generate a report from search results.	
	Ability to download results as a text file or Excel spreadsheet.	

<b>Configuration</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to configure the system to match	

	the hierarchy and any number of parts of our organization.	
	Ability to configure an unlimited number of different media for tracking, including files, boxes, CDs, etc.	
	Ability to configure an unlimited number of different Categories, including Correspondence, Subject, Marketing, etc.	
	Ability to configure an unlimited number of different screens to accommodate different types of Media or Categories.	
	Ability to configure default values for user-defined fields.	
	Ability to configure required for user-defined fields.	
	Ability to configure custom masks and validation for user-defined fields.	
	Ability to configure one or more fields as a key to prevent creation of duplicate files.	
	<p>Ability to configure an unlimited number of user-defined fields on any one screen to appropriately describe data as follows:</p> <ul style="list-style-type: none"> <li>• Text field</li> <li>• Date field</li> <li>• Pulldown list</li> <li>• Multi-select list</li> <li>• Boolean value</li> <li>• Electronic file link</li> <li>• Numeric field</li> <li>• Unlimited Memo field</li> </ul>	
	Ability to configure an unlimited number of user-defined Search Forms containing one or more fields, and restrict the use of them by User or Group.	
	Ability to configure an unlimited number of user-defined Results Forms containing one or more fields, determining sort order	

	on any number of fields.	
	Ability to support multiple file areas.	
	Ability to define custom lookup lists.	
	Ability to enter user-defined Help text for user-defined fields.	
	Provide each user with personalization settings.	
	Ability to configure data entry and view screens in different sections using colored dividers for visual separation.	
	Ability to configure view screen so that files and boxes; Exhibits/Evidence; and, Court Assets are displayed in different colors for easy identification.	

<b>Requesting</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to allow staff to request one or more files in a batch for check out to them.	
	Request fulfillment system to facilitate pulling of large number of requests coming in from all locations.	
	Request fulfillment must prevent two staff from unknowingly working on the same requests at the same time.	
	Ability to configure other services that can be requested, such as faxing, copying, shipping, scan-on-demand, etc., and customization of fields that must be completed when making such a request.	
	Pull List report must list all requested files currently in the file area, in the order they are filed on the shelf, to facilitate efficient pulling.	
	Delivery List reports must list all files pulled with page-breaks by request to facilitate efficient delivery of files.	
	Deliver List report should include any special instruction entered at the time of request.	
	Users should be able to monitor the status of requests they have made, including those that have been completed or	

	cancelled.	
	Users should be able to request files for a specific date out in the future for follow-up purposes.	
	Requests for a specific date should appear in the file area only on the day prior to when the files are needed.	
	When files are checked into the file area, staff should be alerted when some of the files have an outstanding request.	
	When files are checked out, transferred, or otherwise moved to the person who has requested a file, the request should be marked as completed automatically even though the transaction did not happen in the request fulfillment screen.	

<b>Retention</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to maintain and update retention schedules via start/stop date	
	Ability to calculate the date files should be archived.	
	Ability to calculate the date files should be destroyed.	
	Ability to trigger date calculations off a future event.	
	Date calculations need to occur automatically when the records series is selected or when a trigger date is entered.	
	Ability to limit the user's choice of record series based on data already entered.	
	Tools to recalculate when a record series definition changes.	
	Ability to identify all files due for archiving and generate a Pull List to help efficiently pull them.	
	Ability to identify all files due for destruction and generate a notification list for sign-off by department managers.	
	Ability to destroy files in batch – more than one at a time.	
	Ability to destroy a box and have all the	

	files automatically marked as destroyed.	
	Ability to keep information on destroyed files in the database, but not include them in searches unless specifically indicated.	

<b>Integration</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to import data.	
	Ability to integrate with other systems, to automatically load new records and update existing records using either: a) scheduled execution b) on-demand execution	
	Ability to import / synchronize lookup lists, user information, records information, etc.	
	Ability to email or electronically place orders.	

<b>Technology</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Application must be web-based.	
	Must give us the flexibility to run on one server or use separate servers for the database and application.	
	Must provide the option to run on MS Access, MS SQL or Oracle 9i Database.	
	Core architecture should accommodate multiple-facility, locations, and multiple-file room concepts.	
	Should be built with current technology utilizing webservices, XML, and browser-based technologies.	

<b>Security</b>		
	<b>CAPABILITY</b>	<b>Response – please note any limitations.</b>
	Ability to restrict all access to system to only authorized users.	
	Ability to deactivate a user account without deleting it.	

	Ability to define user groups.	
	Ability to place a user in multiple user groups.	
	Ability to control access to functions based on user and user group.	
	Ability to restrict access to data for a user or user group based on department, office location, or category.	
	Ability to restrict one user to accessing data only from their department, while another may have access to data from several departments.	
	Ability to secure an individual field from being edited or seen based on user or user group.	
	Ability to secure an individual file from being view, edited, or checked out to a user or user group.	
	Ability to reflect our password policies in terms of setting minimum length, maximum length, use of special characters, etc.	
	Ability to force passwords to change every 60 days and prevent reuse of the last five passwords.	
	Logging of edits to individual fields into an audit trail that records date/time, user identity and the value of the field.	
	Ability to store passwords in encrypted format in the database to prevent fraudulent use.	
	Ability to automatically authenticate the user using login information from their network sign-in.	